

Aspect II : (φ, Γ) -modules

A very important aspect of p -adic Langlands is the link with (φ, Γ) -modules, that has first been found by Colmez. I will explain in this talk how it can be used to prove cases of the previous conjecture (see aspect I) for $\mathrm{GL}_2(\mathbb{Q}_p)$. This aspect is in full development.

Quick reminder on (φ, Γ) -modules.

L. Berger has already given a talk on (φ, Γ) -modules here, so I will only recall some basic facts. I fix a compatible system of primitive p^n -roots of 1, $(\zeta_{p^n})_{n \geq 0}$.

Let V be a continuous represent: of $\mathrm{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)$ on a finite dimensional k -vector space. Then one can associate to V in a functorial way a free $(k \otimes_{\mathbb{Z}_p} \mathbb{Z}_p[[X]][\frac{1}{X}]^*)$ -module of $\mathrm{rk} = \dim_k V$, usually denoted

$D(V)$, together with $\varphi: D(V) \rightarrow D(V)$

$$\gamma: D(V) \rightarrow D(V), \quad \gamma \in \Gamma = \mathrm{Gal}(\bar{\mathbb{Q}}_p(\zeta_{p^\infty})/\mathbb{Q}_p)$$

φ is injective and semi-linear ($\varphi(Xv) = ((1+X)^{p-1})\varphi(v)$)

γ is bijective and semi-linear ($\gamma(Xv) = ((1+X)^{X(p-1)})\gamma(v)$)

Any $v \in D(V)$ can be written uniquely $v = \sum_{i=0}^{p-1} (1+X)^i \varphi(v_i)$ for some

$v_i \in D(V)$ and one can define $\psi: D(V) \rightarrow D(V)$, $\psi(v) := v_0$.

Then $\psi\varphi = \mathrm{Id}$. There is a natural "weak" topology on

$D(V)$ defined as follows. As $D(V) \simeq (k \otimes_{\mathbb{Z}_p} \mathbb{Z}_p[[X]][\frac{1}{X}]^*)^n$, it is enough

to define this topology on $K \otimes_{D_K} L_k[[x]]\left[\frac{1}{x}\right]^1$ (this will be independent on the choice of an isomorphism). Writing $K \otimes_{D_K} L_k[[x]]\left[\frac{1}{x}\right]^1 = \bigcup_{n \geq 0} \frac{1}{\pi_k^n} D_k[[x]]\left[\frac{1}{x}\right]^1$ and taking the inductive limit topology, it is enough to define it on $D_k[[x]]\left[\frac{1}{x}\right]$. We define it by declaring that $(\pi_k^n \cdot L_k[[x]]\left[\frac{1}{x}\right]^1 + X^m L_k[[x]])_{n,m \geq 0}$ is a basis of neighbourhoods of 0.

In particular, a sequence $(v_i)_i$ of elements of $K \otimes_{D_K} L_k[[x]]\left[\frac{1}{x}\right]^1$ is bounded if there is n_0 s.t. $(\pi_k^{n_0} v_i)_i \in D_k[[x]]\left[\frac{1}{x}\right]^1$ and if $(\pi_k^{n_0} v_i)_i$ is such that, $\forall m \geq 0, \exists n \in \mathbb{Z} \mid \pi_k^{n_0} v_i \in \pi_k^n L_k[[x]]\left[\frac{1}{x}\right]^1 + \frac{1}{X^m} D_k[[x]] \quad (\forall i)$. From this, we easily deduce what it means for a sequence $(v_i)_i$ of elements of $D(V)$ to be bounded.

The basic recipe.

I want to explain here the definition of a functor due to Colmez:

$$\begin{array}{ccc} \left\{ (\mathfrak{g}, r) \text{-modules} \right\} & \longrightarrow & \left\{ \begin{array}{l} \text{continuous unitary representations of} \\ \left(\begin{smallmatrix} 1 & \mathfrak{g}_r \\ 0 & \mathfrak{g}_r^* \end{smallmatrix} \right) \text{ on } K\text{-Banach spaces} \end{array} \right\} \\ D & \longmapsto & B(D) \end{array}$$

recall that an object of the R.H.S. is a K -Banach space $B(D)$

together with a continuous map $\left(\begin{smallmatrix} 1 & \mathfrak{g}_r \\ 0 & \mathfrak{g}_r^* \end{smallmatrix} \right) \times B(D) \longrightarrow B(D)$

such that there exists a norm $\| \cdot \|$ on $B(D)$ (giving the Banach topology) satisfying $\| g \cdot v \| = \| v \| \quad \forall g \in \left(\begin{smallmatrix} 1 & \mathfrak{g}_r \\ 0 & \mathfrak{g}_r^* \end{smallmatrix} \right), \forall v \in B(D)$.

Start from a (\mathfrak{g}, r) -module D and define :

$$B^v(D) := \left\{ \text{bounded sequences in } \varprojlim_{\mathfrak{g}} D \right\}$$

by which I mean sequences $(v_i)_{i \in \mathbb{Z}_{\geq 0}}$ such that $\begin{cases} v_i \in D \\ \varphi(v_i) = v_{i-1} \\ (v_i) \text{ is bounded} \end{cases}$

We equip $B^v(D)$ with the projective limit topology, the topology on D being the above weak topology.

Then define an action of $\begin{pmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{pmatrix}$ on $B^v(D)$ as follows:

- $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ acts as $(v_i)_i \mapsto (\varphi(v_i))_i$
- $\begin{pmatrix} 1 & 0 \\ 0 & a \end{pmatrix}$ acts as $(v_i)_i \mapsto (\delta_i v_i)_i$
 $a \in \mathbb{Z}_p^\times$ where $\Gamma \xrightarrow[\epsilon^{-1}]{} \mathbb{Z}_p^\times$, $\epsilon = p\text{-adic cyclo}$
- $\begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix}$ acts as $(v_i)_i \mapsto (\varphi^i((1+x)^z) v_i)_i$
 $z \in \mathbb{Z}_p$ $\delta_a \mapsto a$

(this extends uniquely in an action of $\begin{pmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{pmatrix}$).

Define: $B(D) = (B^v(D))^v$ (continuous functions $B^v(D) \rightarrow K$)
 $= \text{Hom}_K^c(B^v(D), K)$

endowed with the topology $\|f\| := \sup_{v^v \in B^v(D^v)} |f(v^v)|$

where D^v is an $[k[[X]][\frac{1}{X}]]^1$ -lattice inside D preserved by φ and Γ

and where $B^v(D^v)$ is defined with D^v exactly as $B^v(D)$ with D .

As $B^v(D^v)$ (with the weak topology) is compact, the above $\|f\|$ is well defined. The action of $\begin{pmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{pmatrix}$ on $B^v(D)$ naturally

\hookrightarrow (because one can use $D^v(T)$, so it's not a trivial fact)

defines an action on $\text{Hom}_K^{\leq}(B^v(D), K)$ by $g \cdot f := f(g^{-1} \cdot)$ and we can prove (I am not going to do this here!) that:

$$\left(\begin{smallmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{smallmatrix}\right) \times B(D) \rightarrow B(D) \text{ is continuous.}$$

Note that $B(D)$ is obviously a unitary Banach space for this action, as $B^v(D^v)$ is preserved by $\left(\begin{smallmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{smallmatrix}\right)$ (because it is stable by φ, Γ).

p-adic Langlands

Composing with the functor $\left\{ \begin{array}{c} \subseteq \text{Repres. of} \\ \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p) \\ \text{on } K\text{-vrs.} \end{array} \right\} \rightarrow \left\{ (\varphi, \Gamma)\text{-modules} \right\},$
 one gets like this a functor: $\xrightarrow{\quad} \left\{ \begin{array}{c} \text{continuous unitary repr.} \\ \text{of } \left(\begin{smallmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{smallmatrix}\right) \text{ on } K\text{-Banach} \\ \text{spaces} \end{array} \right\}$

Note that there is no restriction on the dimension

of the represent: of $\text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)$ here (apart from being finite).

We denote this functor $V \mapsto B(V)$.

Lemma: If V is ^{abs.} irreducible ^{of dim > 1}, then $B(V)$ is topologically irreducible.

Sketch of proof: This proof is due to Colmez. We prove that $B(V)^*$ is top. irreducible.

The result from (φ, Γ) -module we use is the following:

Assume V is ^{abs.} irreducible and non-abelian ($\Rightarrow \dim > 1$), then there is a unique ^{non-zero} K -vector subspace $D^*(V) \subseteq D(V)$ containing an $\mathbb{Q}_p[[X]]$ -submodule $D^{*,0}$ that is bounded, preserved by φ and Γ with φ surjective, and generating (over $\mathbb{Q}_p[[X]][\frac{1}{X}]^1$).

[recall bounded means $D^{*,0}/p^n$ is of f.t. over $\mathbb{Q}_{p^n}[[X]]$ for all n]

Let T be a Galois lattice and $\circ \neq B \subseteq \left(\varprojlim V\right)^b = \left(\varprojlim D(V)\right)^b$ closed and stable under $\left(\begin{smallmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^\times \end{smallmatrix}\right)$. Let:

$$M^\circ := \left\{ v \in D(T) \mid \exists (v_i)_{i \in \mathbb{Z}_{\geq 0}} \in B \cap \left(\varprojlim T\right)^b \text{ s.t. } v_0 = v \right\} \neq \emptyset \quad (5)$$

Then it is easy to check that $\Psi: M^\circ \rightarrow M^\circ$ is defined and surjective, and that $B \cap \left(\varprojlim T\right)^b = \left(\varprojlim M^\circ\right)^b \Rightarrow B = \left(\varprojlim M^\circ\right) \otimes K$. One also proves that M° is a bounded $Q_p[[X]]$ -module (as $M^\circ \subset D^{\#}(V) \xrightarrow{H \otimes K}$). Thus $B = \left(\varprojlim M^\circ\right)^b \otimes K = \left(\varprojlim M^\circ \otimes K\right)^b$

$$= \left(\varprojlim D^{\#}(V)\right)^b \xrightarrow[\text{from } D^{\#}(V) \text{ is } D(V)]{\text{actually}} \left(\varprojlim D(V)\right). \quad \square$$

In general, one cannot extend the action of $\begin{pmatrix} 1 & Q_p \\ 0 & Q_p^\times \end{pmatrix}$ on $B(V)$ to an action of $GL_2(Q_p)$. However:

Hope 1: If V is abs. irreducible of dim 2, then the action extends uniquely to $GL_2(Q_p)$.

Hope 2: If V moreover is de Rham, then $B(V)$ with the action extended is a completion of $p(V) \otimes_K \pi(V)$, where $p(V) = \text{Sym}^{i_2-i_1} K^2 \otimes \det^{i_1}$ and where $\pi(V)$ is defined as follows:

$$(v, N, \text{Gal}(L/Q_p), D) \xrightarrow[N=0 \text{ or } \text{Frob}]{\text{not same } v!} (r, N, V) \hookrightarrow \pi(V) \quad (\text{previous talk}).$$

(here, L is a Galois extension of Q_p over which V becomes semi-stable).

It seems that Colmez, using ideas of Kisin, is close to proving Hope 1. The case $\pi(V) = \text{principal serie}$ (and V abs. irred. of dim. 2).

This case is the most simple case, as (r, N) completely determines the irreducible Galois representation V . Note that $N=0$ and L is abelian tot. ramified. Moreover, we will exclude the case where φ is not semi-simple on D (the method below doesn't work there).

Thm (Berger - B.) Under the above assumptions ($\pi(V) = \text{PS}$ and φ semi-simple), one can extend the action of $\begin{pmatrix} 1 & Q_p \\ 0 & Q_p^\times \end{pmatrix}$ on $B(V)$ to an action of $GL_2(Q_p)$.

such that $B(V)$ is a unitary completion of $\rho(V) \otimes_K \Pi(V)$. Moreover, ⑥
there is no other non-zero unitary completion of $\rho(V) \otimes_K \Pi(V)$.

rk: Note that this proves (almost) the conj. of Aspect I in the case $GL_2(\mathbb{Q}_p)$ and $\Pi = P.S.$

I give now the main steps of the proof.

Twisting and enlarging K if necessary, one can assume:

$k \geq 2$, $\alpha, \beta : \mathbb{Q}_p^\times \rightarrow K^\times$ smooth characters, distinct, trivial on $1 + p^n\mathbb{Z}_p$ for $n \geq 1$

let $\alpha_p := \alpha(p)^{-1}$, $\beta_p := \beta(p)^{-1}$

$$\bullet D = K e_\alpha \oplus K e_\beta \quad \begin{cases} \psi(e_\alpha) = \alpha_p^{-1} e_\alpha \\ \psi(e_\beta) = \beta_p^{-1} e_\beta \end{cases} \quad \begin{cases} \delta(e_\alpha) = \alpha(E(t)) e_\alpha \\ \delta(e_\beta) = \beta(E(t)) e_\beta \end{cases} \quad \begin{matrix} \gamma \in \Gamma := Gal(\mathbb{Q}_p(\beta_p)/\mathbb{Q}_p) \\ \downarrow \\ \text{if } E = \text{cycl. char.} \end{matrix}$$

$$0 \leq \text{val}(\alpha_p) < k-1$$

$$\text{val}(\alpha_p) + \text{val}(\beta_p) = -k+1$$

$$0 \leq \text{val}(\beta_p) < k-1$$

$$\text{Fil}^i(\mathbb{Q}_p(\beta_p) \otimes_{\mathbb{Q}_p} D) = \begin{cases} \text{all if } i \leq -(k-1) \\ (\mathbb{Q}_p(\beta_p) \otimes_{\mathbb{Q}_p} K \cdot (e_\alpha + G(\beta_p^{-1}) e_\beta)) \text{ if } -(k-1) \leq i \leq 0 \\ 0 \text{ if } i \geq 1. \end{cases}$$

Here $G(\beta_p^{-1})$ is the Gauss sum associated to β_p^{-1} , $G(\beta_p^{-1}) \in (\mathbb{Q}_p(\beta_p) \otimes_{\mathbb{Q}_p} K)^\times$

$$[G(\eta) = \sum_{\substack{\gamma \in \Gamma/\Gamma_n \\ \gamma \equiv 1 \pmod{1 + p^n\mathbb{Z}_p}} \gamma(\beta_p) \otimes \eta^{-1}(\gamma) \text{ if } \eta \text{ is ramified, } G(\eta) = 1 \text{ if } \eta \text{ is unramified}]$$

↳ [since one can write e_β in $K e_\beta$, one can fix a compatible system of primitive (β_p^n) as in beginning]

$$\bullet \rho \otimes_K \Pi = \text{Sym}^{k-2} K^2 \otimes_K \left(\text{ind}_{\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}}^{GL_2(\mathbb{Q}_p)} \alpha \otimes \beta \Pi^{-1} \right)$$

We assume Π generic in the sequel for simplicity.

To define an action of $GL_2(\mathbb{Q}_p)$ on $B(V)$, one has to proceed in 2 steps.

Step 1: describe the completion of $\rho \otimes_K \Pi$ with respect to any generating $\mathbb{Q}_p[GL_2(\mathbb{Q}_p)]$ -submodule of finite type.

Step 2: Show that $B(V)$ is actually isomorphic to this completion.

In step 1, one has an action of $\mathrm{GL}_2(\mathbb{Q}_p)$, but one doesn't know if the completion is zero or not. In step 2, one has a non-zero space, but only with an action of $\begin{pmatrix} 1 & \mathbb{Q}_p \\ 0 & \mathbb{Q}_p^{\times} \end{pmatrix}$. So step 1+2 give the result, from which it is easy to deduce that $p \otimes \pi$ doesn't have any other non-zero unitary completion (using that $B(V)$ is irreducible and also admissible).

Step 1: We compute the dual of this completion. For this, the method is to fix any $L_K[\mathrm{GL}_2(\mathbb{Q}_p)]$ -submodule of finite type and generating, call it M , and to compute which linear forms $\mu : p \otimes \pi \rightarrow K$ satisfy $\|\mu(m)\| \leq 1$, $\forall m \in M$. Writing $\mathrm{Sym}^{k-2} K^2 \simeq \bigoplus_{j=0}^{k-2} K z^j$, one gets the following: $\forall a \in \mathbb{Q}_p$, $\forall j \in \{0, \dots, k-2\}$, $\forall n \in \mathbb{Z}$:

$$(i) \quad \int_{a+p^n \mathbb{Z}_p} (z-a)^j d\mu(z) \in C_n p^{n(\mathrm{val}(k_p))} L_K \quad (C_n \text{ is some constant that we don't care})$$

$$(ii) \quad \int_{\mathbb{Q}_p - (a+p^n \mathbb{Z}_p)} \beta z^{-j} (z-a)^j |z-a|^{k-2-j} d\mu(z) \in C_n p^{n(\mathrm{val}(k_p)-j)} L_K$$

(i) means that μ is tempered of order $\leq \mathrm{val}(k_p)$

(ii) means that μ is "tempered at ∞ " of order $\leq \mathrm{val}(k_p)$.

Working out more closely (i) and (ii), and using Arthur-Vélu-Vishik, one finds that (i) + (ii) $\Leftrightarrow \mu$ extends to a continuous linear form on $B(\alpha)/_{L(\alpha)}$ where: transparency for $B(\alpha)/_{L(\alpha)}$.

Hence the completion of $p \otimes \pi \simeq B(\alpha)/_{L(\alpha)} \simeq B(\beta)/_{L(\beta)}$

this isomorphism extends

the usual intertwining:

↳ same proof, but choose β instead of α

$$p \otimes \mathrm{ind}_{\alpha \otimes \mathbb{Q}} \alpha^{-1} \xrightarrow{\sim} p \otimes \mathrm{ind}_{\beta \otimes \mathbb{Q}} \beta^{-1}$$

$\{f_{\alpha}\}_{\alpha \in Q_0}$ is a basis for C
 $f_{\alpha} = \sum_{\beta \in Q_0} f_{\alpha\beta} e_{\beta}$

$$|f_{\alpha}| = \sqrt{\sum_{\beta \in Q_0} |f_{\alpha\beta}|^2}$$

$\{f_{\alpha}\}_{\alpha \in Q_0}$ is linearly independent
 $\Rightarrow \sum_{\alpha \in Q_0} f_{\alpha} = 0 \Rightarrow \sum_{\alpha \in Q_0} f_{\alpha\beta} e_{\beta} = 0 \forall \beta \in Q_0$

$f_{\alpha} \in C$ and $f_{\alpha}(x) = \sum_{\beta \in Q_0} f_{\alpha\beta} e_{\beta}(x)$
 extends as a function $C(Q_0)$

$$(f_{\alpha}, f_{\beta}) = d(\alpha, \beta) \int_{Q_0} f_{\alpha}(x) f_{\beta}(x) dx$$

$$\left(\begin{matrix} \alpha \\ \beta \end{matrix} \right) \in GL_2(Q)$$

$$f\left(\frac{x-\beta}{d(\alpha, \beta)}\right)$$

$L(\alpha)$ = closure of subspace generated by

$$z \mapsto z^j$$

$$z \mapsto f_{\alpha}(z-a) / (z-a)^j \quad (z-a)^j$$

for $a \in Q$ and $0 \leq j < \text{val}(\alpha_p)$

$H_{\alpha} = \mathcal{B}(H)/L(\alpha)$ = unitary $GL_2(Q)$ -Banach space

Step 2: One proves:

$$\left(\frac{B(\alpha)}{L(\alpha)} \right)^V \xrightarrow{\sim} \left(\varprojlim_{\mathbb{Q}} D(V) \right)^b$$

$\left\{ \mu \in B(\alpha)^V \mid \langle \mu, L(\alpha) \rangle = 0 \right\}$ This map is essentially p -adic Fourier transform
Arnie transform.

Let $R_K^+ = \left\{ \sum_{n \geq 0} a_n X^n \in K[[X]] \text{ converging on the open unit disc} \right\}$, the

map $\mu \mapsto \sum_{n=0}^{+\infty} \langle \mu, (\zeta_n) \rangle X^n$ induces an isomorphism between
 $C^{\text{an}}(\mathbb{Z}_p, K)^V$ ($=$ loc. anal. distr. on \mathbb{Z}_p) and R_K^+ .

Take $\mu_\alpha \in B(\alpha)^V$ and define $\mu_{\alpha,i} \in C^{\text{an}}(\mathbb{Z}_p, K)^V \hookrightarrow C^{\text{an}}(\mathbb{Z}_p, K)^V$ as:

$$\langle \mu_{\alpha,i}, f(z) \rangle := \langle \mu_\alpha, 1_{\frac{1}{p^i} \mathbb{Z}_p} \cdot f(p^i z) \rangle$$

and let $w_{\alpha,i} \in R_K^+$ such that $\zeta_p^{-i} \mu_{\alpha,i} \mapsto w_{\alpha,i}$.

If $\mu_\beta \in \left(\frac{B(\beta)}{L(\beta)} \right)^V$, then $\mu_\beta \mapsto \mu_\beta \in \left(\frac{B(\beta)}{L(\beta)} \right)^V \rightsquigarrow w_{\beta,i} \in R_K^+$
analogously.

The map is:

$$\mu_\alpha \mapsto (w_{\alpha,i} \otimes e_\alpha \oplus w_{\beta,i} \otimes e_\beta) \in \varprojlim_{\mathbb{Q}} (R_K^+ \otimes_K D)$$

and one gets like this exactly the sequences such that:

(i) $\forall i \geq 0$, $|w_{\alpha,i}|$ is of order $\leq \text{val}(d_p)$ and the 2 sequences
 $|w_{\beta,i}| \leq \text{val}(f_p)$

$(|w_{\alpha,i}|)_{i \geq 0}$ and $(|w_{\beta,i}|)_{i \geq 0}$ are bounded;

(ii) $\Psi(w_{\alpha,i}) = d_p^{-1} w_{\alpha,i-1}$ and $\Psi(w_{\beta,i}) = f_p^{-1} w_{\beta,i-1}$;

(iii) $\forall j \in \{0, \dots, k-2\}$, $\forall i > 0$, $\forall m \geq m(v)$, $\forall \eta_{pm} = \text{primitive } p^m \text{th root of 1}$: (9)

$$\left(\underbrace{\sum_{x \in \mathbb{F}_p^*/\langle 1+p^{m(v)} \rangle} (\beta_{\alpha^{-1}})(x) \eta_{pm}^{m-m(v)} x}_{\text{Gauss sum}} \right) \alpha_p^{m-i} \underbrace{\left\langle \mu_{\alpha,i}, z^i \eta_{pm}^z \right\rangle}_{\substack{\text{loc. alg.} \\ \text{function on } \mathbb{Z}_p}} = \beta_p^{m-i} \left\langle \mu_{\beta,i}, z^i \eta_{pm}^z \right\rangle$$

Here $m(v)$ is the smallest integer ≥ 1 such that $G(\beta_{\alpha^{-1}}) \in (\mathbb{Q}_p[\Sigma_{pm}]) \otimes_{\mathbb{Q}_p} K^\times$.

To conclude, one has to use the following theorem (due to Berger and Colmez):

Thm: The space $(\varprojlim D(v))^b$ can be identified with the vector-subspace of $\varprojlim (R_k^+ \otimes_K D)$ of sequences $(w_{\alpha,i} \otimes e_\alpha + w_{\beta,i} \otimes e_\beta)_i$ satisfying (i), (ii) and (iii).

Rk: Condition (iii) comes from a condition involving the Hodge filtration.