

April 25, 2006, Tuesday. Kevin Buzzard (18th)<sup>142</sup>  
 (18th lecture) lecture  
 if  $k \geq 2$  an integer &  $a_p \in \overline{\mathbb{Q}_p}$   $|a_p| < 1$   
 $a_p^2 \neq 4 \cdot p^{k-1}$

then the  $\varphi$ -module

$D = \overline{\mathbb{Q}_p}^2$  + semi-simple  $\varphi$ -action.

char poly  $x^2 - a_p x + p^{k-1}$

there a unique wa filtration s.t  $\text{Fil}^0 = D$   
 $\text{Fil}^1 = \text{line} = \text{Fil}^{k-1}$   
 $\text{Fil}^k = 0$ .

$\Rightarrow$  Get a Gal rep'n  $V_{k, a_p}$

Last time I told you that what I knew about  $\overline{V_{k, a_p}}$   
 a semi-simple rep'n  $G_{\mathbb{Q}_p} \rightarrow \text{GL}_2(\overline{\mathbb{F}_p})$

It's a thm or a conj.

as to what  $\overline{V_{k, a_p}}$  is for  $k$  "small"

When  $k \geq p^2$  or so, it's a bit of mystery

Apart from Berger's + Berger-Li-Zhu's results

Thm. If  $v(a_p) > \lfloor \frac{k-2}{p-1} \rfloor$  & if  $p \nmid k-1$   
 then  $V_{k, a_p} = I(k-1)$  (i.e.  $\overline{V}/I = \begin{pmatrix} \omega_2^{k-1} & 0 \\ 0 & \omega_2^{p(k-1)} \end{pmatrix}$ )

If  $p \nmid k-1$  &  $v(a_p) > \lfloor \frac{k-2}{p-1} \rfloor$   
 then  $\overline{V}_{k, a_p} = \omega^{\frac{k-1}{p-1}} \otimes$  unram semi-simple with Frob eval  $\pm 1$

Conj. If  $p > 2$  &  $k$  is even, &  $v(a_p) \notin \mathbb{Z}$ .

then  $\overline{V}_{k, a_p}$  is irreducible.

R<sub>k</sub>: this implies that the  $p$ -adic val's of evals of

$U_p \subset \text{Sp}_k(\mathbb{Z}_p)$  are integers

for  $2 < p < 59$  & all  $k$ .

One last remark, about.

$\Pi_{S,p}$  vs  $\rho/P_p$ .

I thought Saito's thm is the end of the story, but Breuil knew better. Breuil realized that there was a possibility of "inserting the Hodge theory into  $\Pi_{S,p}$ ."

$\Pi_{S,p}$  is a countably infinite-dim. v. sp / @. + smooth action of  $GL_2(\mathbb{Q}_p)$ .

$\text{Fix } \overline{\mathbb{Q}_p} \cong \mathbb{Q}$ .

The "Hodge" theory is 2 parts

- ① where filtration jumps  $(0, k-1)$
- ② the line

The observation due to Breuil is that if we consider the "locally alg." rep'n.

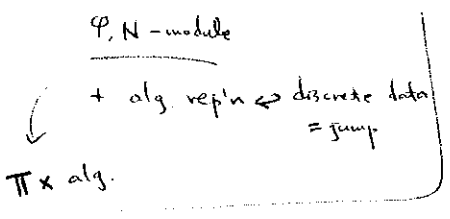
$\Pi_{S,p} \otimes_{\mathbb{Q}_p} (\text{Sym}^{k-2}(\overline{\mathbb{Q}_p}^2))^*$

↑  
f.d rep'n — the data equivalent of  $GL_2(\mathbb{Q}_p)$  to the jumps

then, roughly speaking, the lines in the Galois by side should somehow correspond to

top. mod. "admissible unitary completions" of  $\Pi_{S,p} \otimes (\text{Sym}^{k-2} \overline{\mathbb{Q}_p}^2)^*$

⇕  
equiv. classes of certain  $GL_2(\mathbb{Q}_p)$ -inv. norms on this stuff.



Known for  $GL_2(\mathbb{Q}_p)$   
is unram. pS case, there's a unique w.a. filtration ( $|a_p| < 1$ ) & a unique unitary norm with all the properties.

In Sternberg case, only many lines parametrized by some  $\mathbb{Z} \in \overline{\mathbb{Q}_p}$

& he's written down only many inequivalent norms.  
 parametrized by  $\mathbb{Z} \in \overline{\mathbb{Q}_p}$ .

completion  $\neq 0$  uses  $(p-1)$ -modules & B function  $(\lim_{\leftarrow} D)^b$ .

## Serre's Conjecture

i.e. mod  $p$  local/global local Langlands etc

I told you the following stuff already:

IF  $p$  prime,  $p \nmid N$ .

&  $f \in S_k(\Gamma_0(N), \overline{\mathbb{F}_p})$  is an eigenform of character  $\chi: (\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \overline{\mathbb{F}_p}^\times$

then there's a mod  $p$  Galois rep'n  $\rho_f: G_{\mathbb{Q}} \rightarrow GL_2(\overline{\mathbb{F}_p})$   
 semi-simple

&  $\rho_f$  is unram at  $\ell$  if  $\ell \nmid Np$ . &  $\rho_f(\text{Frob}_\ell)$  has char poly

$$X^2 - a_\ell X + \ell^{k+1} \chi(\ell)$$

$\rho_f$  may not be irreducible

but it's continuous & odd. ( $\det \rho_f(c) = -1$ )

Serre asked:

if  $\rho: G_{\mathbb{Q}} \rightarrow GL_2(\overline{\mathbb{F}_p})$  is odd, odd & irreducible,

then is  $\rho \cong \rho_f$  for some  $f$ ?

(Yes, if  $\rho$  unram @ 2 &  $p > 2$ )

(Khare-Wintenberger)

Serre predicted a weight  $k$  & level  $N$  & character of  $f$ .

level = cond( $\rho$ )

(by def, prime to  $p$ )

=  $N(p)$

char & wt mod  $p-1$  are uniquely determined

by  $\det \rho = \chi \cdot \omega^{k+1}$

$\omega$ : mod  $p$  cycb char,  $\chi: (\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \overline{\mathbb{F}_p}^\times$

Def'n of  $k$  was harder

145

Fact: if  $f \in S_k(\Gamma_1(N), \overline{\mathbb{F}}_p)$  is an eigenform.

$$f = \sum_{n \geq 1} a_n q^n.$$

& if  $2 \leq k \leq p+1$ .

then we know a lot about  $\rho_f | D_p$

If  $a_p \in \overline{\mathbb{F}}_p$  is non-zero, then  $\rho_f | D_p = \begin{pmatrix} \omega^{k-1} \times \lambda\left(\frac{x(p)}{a_p}\right) & * \\ 0 & \lambda(a_p) \end{pmatrix}$

& in particular  $\rho_f | I_p = \begin{pmatrix} \omega^{k-1} & * \\ 0 & 1 \end{pmatrix}$ .

If  $a_p = 0$ , then  $\rho_f | D_p = I_{(k-1)} \otimes \lambda(\sqrt{x(p)})$ .

i.e.  $\rho_f | D_p$  is irreducible.

$\det \rho_f | D_p$  is trivial.

&  $\rho_f | I_p = \begin{pmatrix} \omega_2^{k-1} & 0 \\ 0 & \omega_2^{p(k-1)} \end{pmatrix}$   $\forall k: p \nmid k-1$   
 $\forall 2 \leq k \leq p+1$

Given  $\rho$ , if  $\rho | I_p$  is in the above list, one can make a sensible guess for  $k$ !

& guess  $k$  is  $\leq p+1$ .

Unfortunately  $\rho_f | D_p$  maybe equal to  $\omega^a \otimes \omega^b$  with  $0 < a, b < p-1$ .

In this case we recall Katz  $\theta$ -operator:

if  $f = \sum a_n q^n \in S_k(\Gamma_1(N), \overline{\mathbb{F}}_p)$ .

then  $\exists$  cusp form  $\theta f \in S_{k+p+1}(\Gamma_1(N), \overline{\mathbb{F}}_p)$ .

&  $q$ -expansion  $(\theta f) = \sum_{n \geq 1} n a_n q^n$ .

$\theta = q \frac{d}{dq}$  if bumps  $w$  up by  $p+1$ .

If  $f$  is an eigenform, then  $\rho_{\theta f} = \rho_f \otimes \omega$ .

[ If  $X^2 - a_2 X + l^{k-1} \chi(l) = (X-\alpha)(X-\beta)$

then  $X^2 - l \cdot a_2 X + l^{(k+p+1)-1} \chi(l) = (X-\alpha \cdot l)(X-\beta \cdot l)$

Hence as if  $f$  has wt  $k$  &  $\rho|_{I_f} \sim \begin{pmatrix} \omega^{k-1} & * \\ 0 & 1 \end{pmatrix}$

then  $\rho_{Op}|_{I_f} = \begin{pmatrix} \omega^k & * \\ 0 & \omega \end{pmatrix}$  &  $w(O\rho) = k+p+1$ .

$\omega^{-1} = \omega^{p-2}$

Given  $\bar{\rho}$ , Serre twists by  $\omega^t$  until he gets something on the line, he then predote  $k_0$  for this rep'n

& set  $k = k_0 + n(p+1)$ , where  $n = \#$  times to be twisted

(Upshot : Serre predote  $N(\rho)$ ,  $k(\rho) \leq p^2$  &  $R(\rho)$ .)

Serre's "weak conjecture"

$\rho$  cts ~~odd~~ odd irred  $\Rightarrow \rho$  modular ( $\rho \cong \rho_p$  for some  $p$ )

Strong conj. :  $\rho$  cts odd irred

$\Rightarrow \rho \cong \rho_p$

$\rho \in S_{\text{irr}}(\rho_1(N, \rho) : \overline{\mathbb{F}}_p)$   
char  $\chi(\rho)$

$f \in S_k(\rho_1(N); \overline{\mathbb{F}}_p)$   
 $a_p = 0$   
1st to be  $S_k(\rho_1(N, \rho))$   
 $|a_p(f)| < 1$   
?

$p > 2$   
 $k(\rho) \not\equiv 1 \pmod{p}$   
unless it's  $p+1$   
 $k(\rho) \not\equiv -1 \pmod{p+1}$   
unless it's  $p$

(2D)	3p	4p
2p+1	3p+1	4p+1
	3p+2	4p+2

For  $p > 2$ , weak & strong conj.

were proved to be equivalent in 1980/early 90s

Reformulation

If  $k \geq 2$   
&  $f \in S_k(\rho_1(N); \mathbb{C})$

then  $\forall \gamma \in \rho_1(N)$ , the vector  $\underline{v}(\gamma) = (v_n)_{0 \leq n \leq k-2}$

defined by  $v_n = \int_{\gamma} f(z) \cdot z^n dz$

is easily checked to be

a 1-cocycle  $\rho \rightarrow \text{Sym}^{k-2} \mathbb{C}^{\rho}$  (appropriately identified with  $\mathbb{C}^{\rho}$ )

Using this one gets a map  $H^1(\Gamma_1(N), \text{Sym}^{k-2} \mathbb{Z}^2)$

$$S_k(\Gamma_1(N), \mathbb{C}) \hookrightarrow H^1(\Gamma_1(N), \text{Sym}^{k-2}(\mathbb{C}^2))$$

One can define an action of Hecke ops on RHS to make the map Hecke-equivariant.  
A more careful analysis

one can check that the only systems of Hecke eigenvalues showing up on RHS that don't show up on LHS are associated to Eisenstein series.

Hence if one is chasing around systems of Hecke eigenvalues (an eigenform  $f = \sum a_n q^n = q + \dots$ )

is determined by the  $a_n = T_n$ -eigenvalue) it doesn't matter which side we work on.

"Reducing right hand side mod p"

is tantamount to considering

$$H^1(\Gamma_1(N), \text{Sym}^{k-2}(\mathbb{F}_p^2))$$

This guy has an action of the Hecke ops  $T_n$   $\forall n \geq 1$ .  
Inflation - restriction

$G = gp$ .  $K \triangleleft G$ . normal subgroup

$M$  an abelian gp + action of  $G$ .

Then  $\exists$  long exact seq.

$$0 \rightarrow H^1(G/K, M^K) \xrightarrow{inf} H^1(G, M) \xrightarrow{res} H^1(K, M)^{G/K} \rightarrow H^2(G/K, M^K) \rightarrow$$

Let's consider what happens if  $G = \Gamma_1(N)$  ( $\Gamma(N)$ )

$$K = \Gamma_1(N) \cap \Gamma(p)$$

$$G/K = \text{SL}_2(\mathbb{Z}/p\mathbb{Z})$$

$$M = \text{Sym}^{k-2}(\mathbb{F}_p^2)$$

stuff here  $\geq$  e.vals associated to cusp form level  $N$

We get  $0 \rightarrow H^1(\text{SL}_2(\mathbb{Z}/p\mathbb{Z}), M) \rightarrow H^1(\Gamma_1(N), M) \rightarrow H^1(\Gamma_1(N) \cap \Gamma(p), M) \xrightarrow{G/K} H^2(\text{SL}_2(\mathbb{Z}/p\mathbb{Z}), M)$

2 observations:

① The system of eigenvalues showing up in  $H^1(SL_2(\mathbb{Z}/p\mathbb{Z}), M)$  are uninteresting.

② We can use Eichler-Shimura again, again to re-interpret  $H^1(\Gamma_1(N) \cap \Gamma(p), M)$  in terms of modular forms. bad reduction at  $p$ . (geometrically)

Note finally that

$$\begin{aligned}
 H^1(\Gamma_1(N) \cap \Gamma(p), M)^{G/K} &= \left( H^1(\Gamma_1(N) \cap \Gamma(p), \overline{\mathbb{F}}_p) \otimes M \right)^{G/K} \\
 (X \ Y) \begin{pmatrix} \uparrow & \uparrow \\ \mathbb{Z} & \mathbb{Z} \\ p & \oplus \end{pmatrix} & \begin{matrix} \uparrow & \uparrow \\ \text{both rep'n} & \text{of } G/H. \\ \text{of } & \end{matrix} \begin{matrix} \uparrow \\ \text{Sym}^2 \overline{\mathbb{F}}_p^2 \end{matrix} \\
 &= \text{Hom}_{G/K}(M^*, H^1(\Gamma_1(N) \cap \Gamma(p), \overline{\mathbb{F}}_p)) \\
 & \begin{matrix} \uparrow \\ \text{wt } 2 \text{ forms} \\ \text{level } \Gamma_1(N) \cap \Gamma(p) \\ \text{where } SL_2(\mathbb{Z}/p\mathbb{Z}) \\ \text{is acting in a} \\ \text{certain way} \end{matrix}
 \end{aligned}$$


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$\Lambda \quad \sim \quad \dots \quad \sim \quad \dots$