

QUALIFYING EXAMINATION

HARVARD UNIVERSITY

Department of Mathematics

Tuesday September 16 2008 (Day 1)

- Prove that the Galois group G of the polynomial $X^6 + 3$ over \mathbb{Q} is of order 6.
 - Show that in fact G is isomorphic to the symmetric group S_3 .
 - Is there a prime number p such that $X^6 + 3$ is irreducible over the finite field of order p ?

Solution. We initially work over any field k in which the polynomial $X^6 + 3$ is irreducible. Clearly k cannot have characteristic 2 or 3. Let α be a root of $X^6 + 3$ in an algebraic closure \bar{k} of k , and set $\omega = (-1 + \alpha^3)/2$. Then a simple calculation gives $\omega^2 + \omega + 1 = 0$, so $\omega^3 = 1$ but $\omega \neq 1$. In fact, $1, \omega, \omega^2, -1, -\omega, -\omega^2$ are all distinct elements of \bar{k} ; they are the six roots of $X^6 + 1 = 0$, so $\alpha, \omega\alpha, \omega^2\alpha, -\alpha, -\omega\alpha, -\omega^2\alpha$ are the six roots of $X^6 + 3 = 0$. These roots all lie in the extension $k(\alpha)$, which has degree 6 because α is a root of an irreducible degree 6 polynomial. So the Galois group of $X^6 + 3$ over k is of order 6.

The Galois group acts transitively on the roots of the polynomial $X^6 + 3$, so there are elements σ and τ of the Galois group sending α to $\omega\alpha$ and $-\alpha$ respectively. Then

$$\sigma(\omega) = \frac{-1 + \sigma(\alpha)^3}{2} = \frac{-1 + (\omega\alpha)^3}{2} = \frac{-1 + \alpha^3}{2} = \omega$$

and

$$\tau(\omega) = \frac{-1 + \tau(\alpha)^3}{2} = \frac{-1 + (-\alpha)^3}{2} = \frac{-1 - \alpha^3}{2} = -1 - \omega = \omega^2.$$

Therefore $\tau(\sigma(\alpha)) = \tau(\omega\alpha) = -\omega^2\alpha$ while $\sigma(\tau(\alpha)) = \sigma(-\alpha) = -\omega\alpha$, so σ and τ do not commute. So G is a nonabelian group of order 6, and thus must be isomorphic to the symmetric group S_3 .

We now finish the problem.

- The polynomial $X^6 + 3$ is irreducible over \mathbb{Q} by Eisenstein's criterion at the prime 3. So the preceding arguments show that the Galois group of $X^6 + 3$ over \mathbb{Q} is of order 6.
- Similarly, we also showed under the same assumption that the Galois group was isomorphic to S_3 .

- (c) No, there is no prime p such that $X^6 + 3$ is irreducible over the finite field of order p . If there was, then by the preceding arguments, the extension formed by adjoining a root of $X^6 + 3$ would be a Galois extension with Galois group S_3 . But the Galois groups of finite extensions of the field of order p are all cyclic groups, a contradiction.

2. Evaluate the integral

$$\int_0^\infty \frac{\sqrt{t}}{(1+t)^2} dt.$$

Solution. Write \sqrt{z} for the branch of the square root function defined on $\mathbb{C} - [0, \infty)$ such that \sqrt{z} has positive real part when $z = r + \epsilon i$, ϵ small and positive. Using the identity $(\sqrt{z})^2 = z$ one can check that $\frac{d\sqrt{z}}{dz} = \frac{1}{2\sqrt{z}}$.

Define the meromorphic function f on $\mathbb{C} - [0, \infty)$ by $f(z) = \sqrt{z}/(1+z)^2$. Let $\epsilon > 0$ be small and R large, and let γ be the contour which starts at ϵi , travels along the ray $z = [0, \infty) + \epsilon i$ until it reaches the circle $|z| = R$, traverses most of that circle counterclockwise stopping at the ray $z = [0, \infty) - \epsilon i$, then travels along that ray backwards, and finally traverses the semicircle $|z| = \epsilon$ in the left half-plane to get back to ϵi . Consider the contour integral $\int_\gamma f(z) dz$. The contribution from the first ray is approximately the desired integral $I = \int_0^\infty \sqrt{t}/(1+t^2) dt$; the contribution from the large circle is small, because when $|z| = R$, $|\sqrt{z}/(1+z)^2|$ is about $R^{-3/2}$, and the perimeter of the circle is only about $2\pi R$; the contribution from the second ray is about I again, because the sign from traveling in the opposite direction cancels the sign coming from the branch cut in \sqrt{z} ; and the contribution from the small circle is small because $f(z)$ is bounded in a neighborhood of 0. So

$$2I = \lim_{\epsilon \rightarrow 0, R \rightarrow \infty} \int_\gamma \frac{\sqrt{z}}{(1+z)^2} dz = 2\pi i \left. \frac{d\sqrt{z}}{dz} \right|_{z=-1} = 2\pi i \frac{1}{2\sqrt{-1}} = \pi$$

and thus $I = \pi$.

3. For $X \subset \mathbb{R}^3$ a smooth oriented surface, we define the *Gauss map* $g : X \rightarrow S^2$ to be the map sending each point $p \in X$ to the unit normal vector to X at p . We say that a point $p \in X$ is *parabolic* if the differential $dg_p : T_p(X) \rightarrow T_{g(p)}(S^2)$ of the map g at p is singular.
- (a) Find an example of a surface X such that every point of X is parabolic.
- (b) Suppose now that the locus of parabolic points is a smooth curve $C \subset X$, and that at every point $p \in C$ the tangent line $T_p(C) \subset T_p(X)$ coincides with the kernel of the map dg_p . Show that C is a planar curve, that is, each connected component lies entirely in some plane in \mathbb{R}^3 .

Solution.

- (a) Let X be the xy -plane; then the Gauss map $g : X \rightarrow S^2$ is constant, so its differential is everywhere zero and hence singular.
- (b) Consider the Gauss map of X restricted to C , $g|_C : C \rightarrow S^2$. Then for any point $p \in C$, $d(g|_C)_p = (dg_p)|_{T_p(C)}$, which is 0 by assumption. Hence $g|_C$ is locally constant on C . That is, on each connected component C_0 of C there is a fixed vector (the value of $g|_C$ at any point of the component) normal to all of C_0 . Hence C_0 lies in a plane in \mathbb{R}^3 normal to this vector.
4. Let $X = (S^1 \times S^1) \setminus \{p\}$ be a once-punctured torus.
- (a) How many connected, 3-sheeted covering spaces $f : Y \rightarrow X$ are there?
- (b) Show that for any of these covering spaces, Y is either a 3-times punctured torus or a once-punctured surface of genus 2.

Solution.

- (a) By covering space theory, the number of connected, 3-sheeted covering spaces of a space Z is the number of conjugacy classes of subgroups of index 3 in the fundamental group $\pi_1(Z)$. (We consider two covering spaces of Z isomorphic only when they are related by an homeomorphism over the identity on Z , not one over any homeomorphism of Z .) So we may replace X by the homotopy equivalent space $X' = S^1 \vee S^1$. If we view this new space X' as a graph with one vertex and two directed loops labeled a and b , then a connected 3-sheeted cover of X' is a connected graph with three vertices and some directed edges labeled a or b such that each vertex has exactly one incoming and one outgoing edge with each of the labels a and b . Temporarily treating the three vertices as having distinct labels x, y, z , we find six ways the a edges can be placed: loops at x, y and z ; a loop at x and edges from y to z and from z to y ; similarly but with the loop at y ; similarly but with the loop at z ; edges from x to y, y to z , and z to x ; and edges from x to z, z to y , and y to x . Analogously there are six possible placements for the b edges. Considering all possible combinations, throwing out the disconnected ones, and then treating two graphs as the same if they differ only in the labels x, y, z , we arrive at seven distinct possibilities.
- (b) Let C be a small loop in $S^1 \times S^1$ around the removed point p , and let $X_0 \subset X$ be the torus with the interior of C removed, so that X_0 is a compact manifold with boundary $C = S^1$. Now let Y be any connected, 3-sheeted covering space of X . Pull back the covering map $Y \rightarrow X$ along the inclusion $X_0 \rightarrow X$ to obtain a 3-sheeted covering space Y_0 of X_0 . Since $X_0 \rightarrow X$ is a homotopy equivalence, so is $Y_0 \rightarrow Y$ and in particular Y_0 is still connected. We can recover Y from Y_0 by gluing a strip $D \times [0, 1]$ along the preimage D of C in Y_0 . So, it will suffice to show that Y is

either a torus with three small disks removed, or a surface of genus two with one small disk removed.

Since Y_0 is a 3-sheeted cover of X_0 , it is a compact oriented surface with boundary. By the classification of compact oriented surfaces with boundary, Y_0 can be formed by taking a surface of some genus g and removing some number d of small disks. The boundary of Y_0 is D , the preimage of C , which is a (not necessarily connected) 3-sheeted cover of C . So Y_0 has either one or three boundary circles, i.e., $d = 1$ or $d = 3$. Moreover, we can compute using the Euler characteristic that

$$2 - 2g - d = \chi(Y_0) = 3\chi(X_0) = -3.$$

If $d = 3$, then $g = 1$; if $d = 1$, then $g = 2$. So Y is correspondingly either a 3-times punctured torus or a once-punctured surface of genus two.

5. Let X be a complete metric space with metric ρ . A map $f : X \rightarrow X$ is said to be *contracting* if for any two distinct points $x, y \in X$,

$$\rho(f(x), f(y)) < \rho(x, y).$$

The map f is said to be *uniformly contracting* if there exists a constant $c < 1$ such that for any two distinct points $x, y \in X$,

$$\rho(f(x), f(y)) < c \cdot \rho(x, y).$$

- (a) Suppose that f is uniformly contracting. Prove that there exists a unique point $x \in X$ such that $f(x) = x$.
- (b) Give an example of a contracting map $f : [0, \infty) \rightarrow [0, \infty)$ such that $f(x) \neq x$ for all $x \in [0, \infty)$.

Solution.

- (a) We first show there exists at least one fixed point of f . Let $x_0 \in X$ be arbitrary and define a sequence x_1, x_2, \dots , by $x_n = f(x_{n-1})$. Let $d = \rho(x_0, x_1)$. By the uniformly contracting property of f , $\rho(x_n, x_{n+1}) \leq dc^n$ for every n . Now observe

$$\begin{aligned} \rho(x_n, x_{n+k}) &\leq \rho(x_n, x_{n+1}) + \dots + \rho(x_{n+k-1}, x_{n+k}) \\ &\leq dc^n + \dots + dc^{n+k-1} \\ &\leq dc^n / (1 - c). \end{aligned}$$

This expression tends to 0 as n increases, so (x_n) is a Cauchy sequence and thus has a limit x by the completeness of X . Now f is continuous, because it is uniformly contracting, so

$$f(x) = \lim_{n \rightarrow \infty} f(x_n) = \lim_{n \rightarrow \infty} x_{n+1} = x,$$

and x is a fixed point of f , as desired.

To show that f has at most one fixed point, suppose x and y were distinct points of X with $f(x) = x$ and $f(y) = y$. Then

$$\rho(x, y) = \rho(f(x), f(y)) < c\rho(x, y),$$

which is impossible since $\rho(x, y) > 0$ and $c < 1$.

- (b) Let $f(x) = x + e^{-x}$. Then $f'(x) = 1 - e^{-x} \in [0, 1)$ for all $x \geq 0$, so by the Mean Value Theorem $0 \leq f(x) - f(y) < x - y$ for any $x > y \geq 0$. Thus f is contracting. But f has no fixed points, because $x + e^{-x/2} \neq x$ for all x .

6. Let K be an algebraically closed field of characteristic other than 2, and let $Q \subset \mathbb{P}^3$ be the surface defined by the equation

$$X^2 + Y^2 + Z^2 + W^2 = 0.$$

- (a) Find equations of all lines $L \subset \mathbb{P}^3$ contained in Q .
 (b) Let $\mathbb{G} = \mathbb{G}(1, 3) \subset \mathbb{P}^3$ be the Grassmannian of lines in \mathbb{P}^3 , and $F \subset \mathbb{G}$ the set of lines contained in Q . Show that $F \subset \mathbb{G}$ is a closed subvariety.

Solution.

- (a) Since K is algebraically closed and of characteristic other than 2, we may replace the quadratic form $X^2 + Y^2 + Z^2 + W^2$ with any other nondegenerate one, such as $AB + CD$. More explicitly, set $A = X + \sqrt{-1}Y$, $B = X - \sqrt{-1}Y$, $C = Z + \sqrt{-1}W$, $D = -Z + \sqrt{-1}W$; this change of coordinates is invertible because we can divide by 2, and $AB - CD = X^2 + Y^2 + Z^2 + W^2$.

A line contained in the surface in \mathbb{P}^3 defined by $AB - CD = 0$ is the same as a plane in the subset of the vector space K^4 defined by $v_1v_2 - v_3v_4 = 0$. Define a bilinear form (\cdot, \cdot) on K^4 by $(v, w) = v_1w_2 + v_2w_1 - v_3w_4 - v_4w_3$. Then we want to find all the planes $V \subset K^4$ such that $(v, v) = 0$ for every $v \in V$. Observe that

$$(v + w, v + w) - (v, v) - (w, w) = (v, w) + (w, v) = 2(v, w),$$

so it is equivalent to require that $(v, w) = 0$ for all v and $w \in V$.

Suppose now that V is such a plane inside K^4 . Then V has nontrivial intersection with the subspace $\{v_1 = 0\}$; let $v \in V$ be a nonzero vector with $v_1 = 0$. Since $v_1v_2 - v_3v_4 = 0$, we must have either $v_3 = 0$ or $v_4 = 0$. Assume without loss of generality that $v_3 = 0$. Write $u = v_2$, $t = v_4$; then $(u, t) \neq (0, 0)$. Now consider any vector $w \in V$; then

$$0 = (w, v) = w_1v_2 + w_2v_1 - w_3v_4 - w_4v_3 = uw_1 - tw_3.$$

So there exists $r \in K$ such that $w_1 = rt$ and $w_3 = ru$. We also have

$$0 = \frac{1}{2}(w, w) = w_1w_2 - w_3w_4 = rtw_2 - ruw_4.$$

Hence either $r = 0$ or there exists $s \in K$ such that $w_2 = su$ and $w_4 = st$. So

$$V \subset \{ (w_1, 0, w_3, 0) \mid w_1, w_3 \in K \} \cup \{ (rt, su, ru, st) \mid r, s \in K \}.$$

Since V has dimension 2, we conclude that V must be equal to one of these two planes.

This discussion was under the assumption that $v_3 = 0$ rather than $v_4 = 0$; in the second case, we find that V is of one of the forms $\{ (w_1, 0, 0, w_4) \mid w_1, w_4 \in K \}$ or $\{ (rt, su, st, ru) \mid r, s \in K \}$ for $(u, t) \neq (0, 0)$. But we obtain $\{ (w_1, 0, w_3, 0) \mid w_1, w_3 \in K \}$ by setting $(u, t) = (0, 1)$ in $\{ (rt, su, st, ru) \mid r, s \in K \}$ and $\{ (w_1, 0, 0, w_4) \mid w_1, w_4 \in K \}$ by setting $(u, t) = (0, 1)$ in $\{ (rt, su, ru, st) \mid r, s \in K \}$. Hence all such planes V are of one of the forms

$$V_{u,t}^{(1)} = \{ (rt, su, ru, st) \mid r, s \in K \}$$

or

$$V_{u,t}^{(2)} = \{ (rt, su, st, ru) \mid r, s \in K \}$$

for some $(u, t) \neq (0, 0)$. And it is easy to see conversely that each of these subspaces is two-dimensional and lies in the subset of K^4 determined by $(v, v) = 0$.

Translating this back into equations for the lines on the surface Q , we obtain two families of lines:

$$L_{u,t}^{(1)} = \left\{ \left[\frac{rt + su}{2} : \frac{rt - su}{2\sqrt{-1}} : \frac{ru - st}{2} : \frac{ru + st}{2\sqrt{-1}} \right] \mid r, s \in K \right\},$$

$$L_{u,t}^{(2)} = \left\{ \left[\frac{rt + su}{2} : \frac{rt - su}{2\sqrt{-1}} : \frac{st - ru}{2} : \frac{st + ru}{2\sqrt{-1}} \right] \mid r, s \in K \right\},$$

where (u, t) ranges over $K^2 \setminus \{(0, 0)\}$. The families $L_{*,*}^{(1)}$ and $L_{*,*}^{(2)}$ are disjoint, and two pairs (u, t) and (u', t') yield the same line in a given family if and only if one pair is a nonzero scalar multiple of the other.

- (b) By the result of the previous part, F is the image of a regular map $\mathbb{P}^1 \amalg \mathbb{P}^1 \rightarrow \mathbb{G}$, so F is a closed subvariety of \mathbb{G} .

QUALIFYING EXAMINATION

HARVARD UNIVERSITY

Department of Mathematics

Wednesday September 17 2008 (Day 2)

1. (a) Show that the ring $\mathbb{Z}[i]$ is Euclidean.
- (b) What are the units in $\mathbb{Z}[i]$?
- (c) What are the primes in $\mathbb{Z}[i]$?
- (d) Factorize $11 + 7i$ into primes in $\mathbb{Z}[i]$.

Solution.

- (a) We define a norm on $\mathbb{Z}[i]$ in the usual way, $|a + bi| = \sqrt{a^2 + b^2}$. Then we must show that for any a and b in $\mathbb{Z}[i]$ with $b \neq 0$, there exist q and r in $\mathbb{Z}[i]$ with $a = qb + r$ and $|r| < |b|$. Let $q_0 = a/b \in \mathbb{C}$ and let $q \in \mathbb{Z}[i]$ be one of the Gaussian integers closest to q_0 ; the real and imaginary parts of q differ by at most $\frac{1}{2}$ from those of q_0 , so $|q - q_0| \leq \sqrt{2}/2 < 1$. Now let $r = a - qb$. Then

$$|r| = |a - qb| = |(q_0 - q)b| = |q_0 - q||b| < |b|$$

as desired.

- (b) If $u \in \mathbb{Z}[i]$ is a unit, then there exists $u' \in \mathbb{Z}[i]$ such that $uu' = 1$, so $|u||u'| = 1$ and hence $|u| = 1$ (since $|z| > 0$ for every $z \in \mathbb{Z}[i]$). Writing $u = a + bi$, we obtain $1 = |u| = \sqrt{a^2 + b^2}$ so either $a = \pm 1$ and $b = 0$ or $a = 0$ and $b = \pm 1$. The four possibilities $u = 1, -1, i, -i$ are all clearly units.
- (c) Since $\mathbb{Z}[i]$ is Euclidean, it contains a greatest common divisor of any two elements, and it follows that irreducibles and primes are the same: if z is irreducible and $z \nmid x$ and $n \nmid y$, then $\gcd(x, z) = \gcd(y, z) = 1$, so $1 \in (x, z)$ and $1 \in (y, z)$; hence $1 \in (xy, z)$, so $z \nmid xy$.

Let $z \in \mathbb{Z}[i]$. If $|z| \leq 1$, then z is either zero or a unit so is not prime. If $|z| = \sqrt{p}$, $p \in \mathbb{Z}$ a prime, then z must be a prime in $\mathbb{Z}[i]$, because $|\cdot|$ is multiplicative and $|z|^2 \in \mathbb{Z}$ for all $z \in \mathbb{Z}[i]$. It remains to consider z for which $|z|^2$ is composite.

Write $\sqrt{N} = |z|$, and factor $N = p_1 p_2 \cdots p_r$ in \mathbb{Z} . Note that

$$z \mid z\bar{z} = N = p_1 p_2 \cdots p_r$$

so if z is prime, then z divides one of the primes $p = p_i$ in $\mathbb{Z}[i]$. Moreover \bar{z} also divides p so $N = z\bar{z}$ divides p^2 ; since N is composite we must have $N = p^2$. That is, $z\bar{z} = p^2$; by assumption the left side is a factorization

into irreducibles, so up to units each p on the right hand side must be a product of some terms on the left; the only possibility is $z = pu$, $\bar{z} = p\bar{u}$ for some unit u . Now when $p \equiv 3 \pmod{4}$, p is indeed a prime in $\mathbb{Z}[i]$, because then $p \mid a^2 + b^2 \implies p \mid a, b \implies p^2 \mid a^2 + b^2$, so there are no elements of $\mathbb{Z}[i]$ with norm \sqrt{p} . If $p \equiv 1 \pmod{4}$, then p can be written in the form $p = a^2 + b^2$, so $p = (a + bi)(a - bi)$ and p is not in fact a prime.

In conclusion, the primes of $\mathbb{Z}[i]$ are

- elements $z \in \mathbb{Z}[i]$ with $z = \sqrt{p}$, $p \in \mathbb{Z}$ prime (necessarily congruent to 1 mod 4);
- elements of the form pu with $p \in \mathbb{Z}$ a prime congruent to 3 mod 4 and $u \in \mathbb{Z}[i]$ a unit.

- (d) We first compute $|11 + 7i| = \sqrt{121 + 49} = \sqrt{170}$; so $11 + 7i$ will be a product of primes with norms $\sqrt{2}$, $\sqrt{5}$ and $\sqrt{17}$. There is only one prime with norm $\sqrt{2}$ up to units and only two with a norm $\sqrt{5}$; a quick calculation yields

$$11 + 7i = (1 + i)(1 + 2i)(1 - 4i).$$

2. Let $U \subset \mathbb{C}$ be the open region

$$U = \{z : |z - 1| < 1 \text{ and } |z - i| < 1\}.$$

Find a conformal map $f : U \rightarrow \Delta$ of U onto the unit disc $\Delta = \{z : |z| < 1\}$.

Solution. The map $z \mapsto 1/z$ takes the open discs $\{z : |z - 1| < 1\}$ and $\{z : |z - i| < 1\}$ holomorphically to the open half-planes $\{z : \Re z \geq \frac{1}{2}\}$ and $\{z : \Im z \leq -\frac{1}{2}\}$ respectively, so it takes U to their intersection. So we can define a conformal isomorphism f_0 from U to the interior U' of the fourth quadrant by

$$f_0(z) = \frac{1}{z} - \frac{1 - i}{2}.$$

Now we can send U' to the lower half plane by the squaring map, and that to Δ by the Möbius transformation $z \mapsto \frac{1}{z - i/2} - i$. Thus the composite

$$\frac{1}{\left(\frac{1}{z} - \frac{1 - i}{2}\right)^2 + \frac{i}{2}} - i$$

is actually a conformal isomorphism from U to Δ .

3. Let n be a positive integer, A a symmetric $n \times n$ matrix and Q the quadratic form

$$Q(x) = \sum_{1 \leq i, j \leq n} A_{i,j} x_i x_j.$$

Define a metric on \mathbb{R}^n using the line element whose square is

$$ds^2 = e^{Q(x)} \sum_{1 \leq i \leq n} dx^i \otimes dx^i.$$

- (a) Write down the differential equation satisfied by the geodesics of this metric
- (b) Write down the Riemannian curvature tensor of this metric at the origin in \mathbb{R}^n .

Solution. We first compute the Christoffel symbols Γ^m_{ij} with respect to the standard basis for the tangent space ($\partial/\partial x_k$). The metric tensor in these coordinates is

$$g_{ij} = \delta_{ij}e^{Q(x)} \quad \text{with inverse} \quad g^{ij} = \delta_{ij}e^{-Q(x)}.$$

Its partial derivatives are

$$\frac{\partial}{\partial x_k} g_{ij} = \delta_{ij}e^{Q(x)} \frac{\partial}{\partial x_k} Q(x) = 2\delta_{ij}e^{Q(x)} \sum_l A_{lk}x_l.$$

Then (using implicit summation notation)

$$\begin{aligned} \Gamma^m_{ij} &= \frac{1}{2}g^{km} \left(\frac{\partial}{\partial x_i} g_{kj} + \frac{\partial}{\partial x_j} g_{ik} - \frac{\partial}{\partial x_k} g_{ij} \right) \\ &= \frac{1}{2}\delta_{km}e^{-Q(x)} (2\delta_{kj}e^{Q(x)} A_{li}x_l + 2\delta_{ik}e^{Q(x)} A_{lj}x_l - 2\delta_{ij}e^{Q(x)} A_{lk}x_l) \\ &= (\delta_{mj}A_{li} + \delta_{im}A_{lj} - \delta_{ij}A_{lm})x_l. \end{aligned}$$

- (a) The geodesic equation is

$$\begin{aligned} 0 &= \frac{d^2x_m}{dt^2} + \Gamma^m_{ij} \frac{dx_i}{dt} \frac{dx_j}{dt} \\ &= \frac{d^2x_m}{dt^2} + (\delta_{mj}A_{li} + \delta_{im}A_{lj} - \delta_{ij}A_{lm})x_l \frac{dx_i}{dt} \frac{dx_j}{dt} \\ &= \frac{d^2x_m}{dt^2} + 2 \sum_{i,l} A_{li}x_l \frac{dx_i}{dt} \frac{dx_m}{dt} - \sum_l A_{lm}x_l \sum_i \left(\frac{dx_i}{dt} \right)^2 \end{aligned}$$

(where we have written summations explicitly on the last line).

- (b) The Riemannian curvature tensor is given by

$$\begin{aligned} R^l_{ijk} &= \frac{\partial}{\partial x_j} \Gamma^l_{ik} - \frac{\partial}{\partial x_k} \Gamma^l_{ij} + \Gamma^l_{js} \Gamma^s_{ik} - \Gamma^l_{ks} \Gamma^s_{ij} \\ &= (\delta_{lk}A_{ri} + \delta_{il}A_{rk} - \delta_{ik}A_{rl}) - (\delta_{lj}A_{ri} + \delta_{il}A_{rj} - \delta_{ij}A_{rl}) \\ &\quad + (\delta_{ls}A_{tj} + \delta_{jl}A_{ts} - \delta_{js}A_{tl})x_t (\delta_{sk}A_{ui} + \delta_{is}A_{uk} - \delta_{ik}A_{us})x_u \\ &\quad - (\delta_{ls}A_{tk} + \delta_{kl}A_{ts} - \delta_{ks}A_{tl})x_t (\delta_{sj}A_{ui} + \delta_{is}A_{uj} - \delta_{ij}A_{us})x_u. \end{aligned}$$

4. Let H be a separable Hilbert space and $b : H \rightarrow H$ a bounded linear operator.

- (a) Prove that there exists $r > 0$ such that $b + r$ is invertible.

- (b) Suppose that H is infinite dimensional and that b is compact. Prove that b is not invertible.

Solution.

- (a) It is equivalent to show that there exists $\epsilon > 0$ such that $1 - \epsilon b$ is invertible. Since b is bounded there is a constant C such that $\|bv\| \leq C\|v\|$ for all $v \in H$. Choose $\epsilon < 1/C$ and consider the series

$$a = 1 + \epsilon b + \epsilon^2 b^2 + \dots .$$

For any v the sequence $v + \epsilon bv + \epsilon^2 b^2 v + \dots$ converges by comparison to a geometric series. So this series converges to a linear operator a and $a(1 - \epsilon b) = (1 - \epsilon b)a = 1$, that is, $a = (1 - \epsilon b)^{-1}$.

- (b) Suppose for the sake of contradiction that b is invertible. Then the open mapping theorem applies to b , so if $U \subset H$ is the unit ball, then $b(U)$ contains the ball around 0 of radius ϵ for some $\epsilon > 0$. By the definition of a compact operator, the closure V of $b(U)$ is a compact subset of H . But H is infinite dimensional, so there is an infinite orthonormal set v_1, v_2, \dots , and the sequence $\epsilon v_1, \epsilon v_2, \dots$ is contained in V but has no limit point, a contradiction. Hence b cannot be invertible.

5. Let $X \subset \mathbb{P}^n$ be a projective variety.

- (a) Define the *Hilbert function* $h_X(m)$ and the *Hilbert polynomial* $p_X(m)$ of X .
- (b) What is the significance of the degree of p_X ? Of the coefficient of its leading term?
- (c) For each m , give an example of a variety $X \subset \mathbb{P}^n$ such that $h_X(m) \neq p_X(m)$.

Solution.

- (a) The homogeneous coordinate ring $S(X)$ is the graded ring $S(\mathbb{P}^n)/I$, where $S(\mathbb{P}^n)$ is the ring of polynomials in $n+1$ variables and I is the ideal generated by those homogeneous polynomials which vanish on X . Then $h_X(m)$ is the dimension of the m th graded piece of this ring. The Hilbert polynomial $p_X(m)$ is the unique polynomial such that $p_X(m) = h_X(m)$ for all sufficiently large integers m .
- (b) The degree of p_X is the dimension d of the variety $X \subset \mathbb{P}^n$, and its leading term is $\deg X/d!$.
- (c) Let X consist of any k distinct points of \mathbb{P}^n . Then X is a variety of dimension 0 and degree k , so by the previous part $p_X(m) = k$. But $h_X(m)$ is at most the dimension of the space of homogeneous degree m polynomials in $n+1$ variables, so for sufficiently large k , $h_X(m) < k = p_X(m)$.

6. Let $X = S^2 \vee \mathbb{R}\mathbb{P}^2$ be the wedge of the 2-sphere and the real projective plane. (This is the space obtained from the disjoint union of the 2-sphere and the real projective plane by the equivalence relation that identifies a given point in S^2 with a given point in $\mathbb{R}\mathbb{P}^2$, with the quotient topology.)
- Find the homology groups $H_n(X, \mathbb{Z})$ for all n .
 - Describe the universal covering space of X .
 - Find the fundamental group $\pi_1(X)$.

Solution.

- The wedge $A \vee B$ of two spaces satisfies $\tilde{H}_n(A \vee B, \mathbb{Z}) = \tilde{H}_n(A, \mathbb{Z}) \oplus \tilde{H}_n(B, \mathbb{Z})$ for all n , so

$$H_0(X, \mathbb{Z}) = \mathbb{Z}, \quad H_1(X, \mathbb{Z}) = \mathbb{Z}/2\mathbb{Z}, \quad H_2(X, \mathbb{Z}) = \mathbb{Z}.$$

- The universal covering space \tilde{X} of X can be constructed as the union of the unit spheres centered at $(-2, 0, 0)$, $(0, 0, 0)$ and $(2, 0, 0)$ in \mathbb{R}^3 ; the group $\mathbb{Z}/2\mathbb{Z}$ acts freely on \tilde{X} by sending x to $-x$, and the quotient is X . Topologically, \tilde{X} is the wedge sum $S^2 \vee S^2 \vee S^2$.
- Since X is the quotient of the simply connected space \tilde{X} by a free action of the group $\mathbb{Z}/2\mathbb{Z}$, we have $\pi_1(X) = \mathbb{Z}/2\mathbb{Z}$.

QUALIFYING EXAMINATION

HARVARD UNIVERSITY

Department of Mathematics

Thursday January 31 2008 (Day 3)

1. For $z \in \mathbb{C} \setminus \mathbb{Z}$, set

$$f(z) = \lim_{N \rightarrow \infty} \left(\sum_{n=-N}^N \frac{1}{z+n} \right)$$

- (a) Show that this limit exists, and that the function f defined in this way is meromorphic.
- (b) Show that $f(z) = \pi \cot \pi z$.

Solution.

(a) We can rewrite f as

$$f(z) = \frac{1}{z} + \lim_{N \rightarrow \infty} \left(\sum_{n=1}^N \frac{1}{z+n} + \frac{1}{z-n} \right) = \frac{1}{z} + \sum_{n=1}^{\infty} \frac{2z}{z^2 - n^2}.$$

For any $z \in \mathbb{C} \setminus \mathbb{R}$, the terms of this sum are uniformly bounded near z by a convergent series. So this sum of analytic functions converges uniformly near z and thus f is analytic near z . We can apply a similar argument to $f(z) - \frac{1}{z-n}$ to conclude that f has a simple pole at each integer n (with residue 1).

(b) The meromorphic function $\pi \cot \pi z$ also has a simple pole at each integer n with residue $\lim_{z \rightarrow n} (z-n)(\pi \cot \pi z) = 1$, so $f(z) - \pi \cot \pi z$ is a global analytic function. Moreover

$$\begin{aligned} f(z+1) - f(z) &= \lim_{N \rightarrow \infty} \left(\sum_{n=-N}^N \frac{1}{z+1+n} - \frac{1}{z+n} \right) \\ &= \lim_{N \rightarrow \infty} \left(\frac{1}{z+1+N} - \frac{1}{z-N} \right) \\ &= 0 \end{aligned}$$

for all $z \in \mathbb{C} \setminus \mathbb{Z}$, and $\cot \pi(z+1) = \cot \pi z$, so $f(z) - \pi \cot \pi z$ is periodic with period 1. Its derivative is

$$f'(z) - \frac{d}{dz} \pi \cot \pi z = -\frac{1}{z^2} + \sum_{n=1}^{\infty} \left(-\frac{1}{(z+n)^2} - \frac{1}{(z-n)^2} \right) + \pi^2 \sin^2 \pi z.$$

This is again an analytic function with period 1, and it approaches 0 as the imaginary part of z goes to ∞ , so it must be identically 0. So

$f(z) - \pi \cot \pi z$ is constant; since it is an odd function, that constant must be 0.

2. Let p be an odd prime.

- (a) What is the order of $GL_2(\mathbb{F}_p)$?
- (b) Classify the finite groups of order p^2 .
- (c) Classify the finite groups G of order p^3 such that every element has order p .

Solution.

- (a) To choose an invertible 2×2 matrix over \mathbb{F}_p , we first choose its first column to be any nonzero vector in \mathbb{F}_p^2 , then its second column to be any vector not a multiple of the first in $p^2 - p$ ways. So $GL_2(\mathbb{F}_p)$ has $(p^2 - 1)(p^2 - p)$ elements.
- (b) Let G be a group with p^2 elements. As a p -group, G must have nontrivial center Z . If $Z = G$, then G is abelian and so $G = (\mathbb{Z}/p\mathbb{Z})^2$ or $G = \mathbb{Z}/p^2\mathbb{Z}$. Otherwise Z has order p . So there is a short exact sequence

$$1 \rightarrow Z \rightarrow G \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 1.$$

The sequence splits, because we can pick a generator for $\mathbb{Z}/p\mathbb{Z}$ and choose a preimage for it in G ; this preimage has order p (G cannot contain an element of order p^2 or it would be cyclic) so it determines a splitting $\mathbb{Z}/p\mathbb{Z} \rightarrow G$. Hence G is the direct product of Z and $\mathbb{Z}/p\mathbb{Z}$ (because Z is central in G). So there are no new groups in this case.

- (c) Let G be a group with p^3 elements in which every element has order p , and let Z be the center of G ; again Z is nontrivial. If Z has order p^3 , then G is abelian, and since every element has order p , G must be $(\mathbb{Z}/p\mathbb{Z})^3$. If Z has order p^2 , then Z must be isomorphic to $(\mathbb{Z}/p\mathbb{Z})^2$, and there is a short exact sequence

$$1 \rightarrow Z \rightarrow G \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 1.$$

Again, we can split this sequence by choosing a preimage of a generator of $\mathbb{Z}/p\mathbb{Z}$, so G is the direct product $Z \times \mathbb{Z}/p\mathbb{Z}$. Hence Z is not really the center of G , and there are no groups in this case. Finally, suppose Z has order p ; then there is a short exact sequence

$$1 \rightarrow Z \rightarrow G \rightarrow (\mathbb{Z}/p\mathbb{Z})^2 \rightarrow 1.$$

Let a and b be elements of G whose images together generate $(\mathbb{Z}/p\mathbb{Z})^2$. Then the image of $c = bab^{-1}a^{-1}$ is $0 \in (\mathbb{Z}/p\mathbb{Z})^2$, so c lies in Z . If a and b commuted, we could split this sequence which would lead to a

contradiction as before. Hence c is a generator of Z . We can write every element of G uniquely in the form $a^i b^j c^k$ with $0 \leq i, j, k < p$, and we know the commutation relations between a, b and c ; it's easy to see that G is isomorphic to the group of upper-triangular 3×3 matrices over \mathbb{F}_p with ones on the diagonal via the isomorphism

$$a^i b^j c^k \leftrightarrow \begin{pmatrix} 1 & j & k \\ 0 & 1 & i \\ 0 & 0 & 1 \end{pmatrix}.$$

It remains to check that in this group every element really has order p . But one can check by induction that

$$\begin{pmatrix} 1 & j & k \\ 0 & 1 & i \\ 0 & 0 & 1 \end{pmatrix}^n = \begin{pmatrix} 1 & nj & nk + \frac{n(n-1)}{2}ij \\ 0 & 1 & ni \\ 0 & 0 & 1 \end{pmatrix}$$

and setting $n = p$, the right hand side is the identity because p is odd.

3. Let X and Y be compact, connected, oriented 3-manifolds, with

$$\pi_1(X) = (\mathbb{Z}/3\mathbb{Z}) \oplus \mathbb{Z} \oplus \mathbb{Z} \quad \text{and} \quad \pi_1(Y) = (\mathbb{Z}/6\mathbb{Z}) \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}.$$

- (a) Find $H_n(X, \mathbb{Z})$ and $H_n(Y, \mathbb{Z})$ for all n .
 (b) Find $H_n(X \times Y, \mathbb{Q})$ for all n .

Solution.

- (a) (We omit the coefficient group \mathbb{Z} from the notation in this part.) By the Hurewicz theorem, $H_1(X)$ is the abelianization of $\pi_1(X)$, so $H_1(X) = (\mathbb{Z}/3\mathbb{Z}) \oplus \mathbb{Z} \oplus \mathbb{Z}$. By Poincaré duality, $H^2(X) = (\mathbb{Z}/3\mathbb{Z}) \oplus \mathbb{Z} \oplus \mathbb{Z}$ as well. Now by the universal coefficient theorem for cohomology, $H^1(X)$ is (noncanonically isomorphic to) the free part of $H_1(X)$. So $H^1(X) = \mathbb{Z} \oplus \mathbb{Z}$, and by Poincaré duality again $H_2(X) = \mathbb{Z} \oplus \mathbb{Z}$ too. Of course, $H_3(X) = \mathbb{Z}$ because X is a connected oriented 3-manifold. So the homology groups of X are

$$H_0(X) = \mathbb{Z}, \quad H_1(X) = (\mathbb{Z}/3\mathbb{Z}) \oplus \mathbb{Z}^2, \quad H_2(X) = \mathbb{Z}^2, \quad H_3(X) = \mathbb{Z}.$$

Entirely analogous arguments for Y yield

$$H_0(Y) = \mathbb{Z}, \quad H_1(Y) = (\mathbb{Z}/6\mathbb{Z}) \oplus \mathbb{Z}^3, \quad H_2(Y) = \mathbb{Z}^3, \quad H_3(Y) = \mathbb{Z}.$$

- (b) The module \mathbb{Q} is flat over \mathbb{Z} ($\text{Tor}_n^{\mathbb{Z}}(\mathbb{Q}, -) = 0$ for $n > 0$) so for any space A , $H_n(A, \mathbb{Q}) = \mathbb{Q} \otimes H_n(A, \mathbb{Z})$. In particular,

$$H_0(X, \mathbb{Q}) = \mathbb{Q}, \quad H_1(X, \mathbb{Q}) = \mathbb{Q}^2, \quad H_2(X, \mathbb{Q}) = \mathbb{Q}^2, \quad H_3(X, \mathbb{Q}) = \mathbb{Q},$$

$$H_0(Y, \mathbb{Q}) = \mathbb{Q}, \quad H_1(Y, \mathbb{Q}) = \mathbb{Q}^3, \quad H_2(Y, \mathbb{Q}) = \mathbb{Q}^3, \quad H_3(Y, \mathbb{Q}) = \mathbb{Q}.$$

The Künneth theorem over a field k states that $H_*(A \times B, k) = H_*(A, k) \otimes H_*(B, k)$ for any spaces A and B . So the homology groups $H_n(X \times Y, \mathbb{Q})$ for $n = 0, \dots, 6$ are

$$\mathbb{Q}, \quad \mathbb{Q}^5, \quad \mathbb{Q}^{11}, \quad \mathbb{Q}^{14}, \quad \mathbb{Q}^{11}, \quad \mathbb{Q}^5, \quad \mathbb{Q}.$$

Note. Actually, there are no compact connected 3-manifolds M with $\pi_1(M) = (\mathbb{Z}/3\mathbb{Z}) \oplus \mathbb{Z} \oplus \mathbb{Z}$ or $\pi_1(M) = (\mathbb{Z}/6\mathbb{Z}) \oplus \mathbb{Z} \oplus \mathbb{Z}$. The only abelian groups which are the fundamental groups of compact connected 3-manifolds are $\mathbb{Z}/n\mathbb{Z}$, \mathbb{Z} , $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$, and $(\mathbb{Z}/2\mathbb{Z}) \oplus \mathbb{Z}$.

4. Let $\mathcal{C}_c^\infty(\mathbb{R})$ be the space of differentiable functions on \mathbb{R} with compact support, and let $L^1(\mathbb{R})$ be the completion of $\mathcal{C}_c^\infty(\mathbb{R})$ with respect to the L^1 norm. Let $f \in L^1(\mathbb{R})$. Prove that

$$\lim_{h \rightarrow 0} \frac{1}{h} \int_{|y-x| < h} |f(y) - f(x)| dy = 0$$

for almost every x .

Solution. Let X_k be the set of $x \in \mathbb{R}$ such that

$$\limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x| < h} |f(y) - f(x)| dy > \frac{1}{k}.$$

We will show that X_k has measure 0 for each $k = 1, 2, \dots$. The union of these sets is the set of x for which the displayed equation in the problem statement does not hold; if it is the union of countably many sets of measure 0, it also has measure 0, proving the desired statement.

Fix a positive integer k , and let $\varepsilon > 0$. By the given definition of $L^1(\mathbb{R})$, there is a differentiable function g on \mathbb{R} with compact support such that $\|f - g\|_1 \leq \varepsilon/4k$. Write $f_1 = f - g$. I claim that

$$\limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x| < h} |f(y) - f(x)| dy = \limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x| < h} |f_1(y) - f_1(x)| dy,$$

so we may replace f by f_1 . Indeed, by the triangle inequality, the difference between the two sides is at most

$$\limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x| < h} |g(y) - g(x)| dy.$$

Since g is continuous, we may choose h small enough so that the integrand is bounded by δ for any $\delta > 0$, hence this lim sup is 0.

So now suppose $f \in L^1(\mathbb{R})$ is such that $\|f\|_1 < \epsilon/4k$. Observe that

$$\begin{aligned} \limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x|<h} |f(y) - f(x)| dy &\leq \limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x|<h} |f(x)| + |f(y)| dy \\ &= 2|f(x)| + \limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x|<h} |f(y)| dy. \end{aligned}$$

Now define $F(x) = \int_{-\infty}^x |f(y)| dy$. Then by the Lebesgue differentiation theorem F is differentiable with $F'(x) = |f(x)|$ for almost every x . The last term on the second line above equals $2F'(x)$ wherever the latter is defined, so for almost every x ,

$$\limsup_{h \rightarrow 0} \frac{1}{h} \int_{|y-x|<h} |f(y) - f(x)| dy \leq 4|f(x)|.$$

The measure of the set of points x such that $4|f(x)| \geq 1/k$ is at most $4k\|f\|_1 < \epsilon$, so the measure of X_k is at most ϵ . Since ϵ was arbitrary, X_k has measure 0 as claimed.

5. Let \mathbb{P}^5 be the projective space of homogeneous quadratic polynomials $F(X, Y, Z)$ over \mathbb{C} , and let $\Phi \subset \mathbb{P}^5$ be the set of those polynomials that are products of linear factors. Similarly, let \mathbb{P}^9 be the projective space of homogeneous cubic polynomials $F(X, Y, Z)$, and let $\Psi \subset \mathbb{P}^9$ be the set of those polynomials that are products of linear factors.
- Show that $\Phi \subset \mathbb{P}^5$ and $\Psi \subset \mathbb{P}^9$ are closed subvarieties.
 - Find the dimensions of Φ and Ψ .
 - Find the degrees of Φ and Ψ .

Solution.

- Identify \mathbb{P}^2 with the projective space of linear polynomials $F(X, Y, Z)$ over \mathbb{C} . Then there is a map $\mathbb{P}^2 \times \mathbb{P}^2 \rightarrow \mathbb{P}^5$ given by multiplying the two linear polynomials to get a homogeneous quadratic polynomial. Its image is exactly Φ . Since $\mathbb{P}^2 \times \mathbb{P}^2$ is a projective variety, Φ is a closed subvariety of \mathbb{P}^5 . Similarly, there is a map $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2 \rightarrow \mathbb{P}^9$ with image Ψ , showing that Ψ is a closed subvariety of \mathbb{P}^9 .
- The fibers of the maps $\mathbb{P}^2 \times \mathbb{P}^2 \rightarrow \Phi$ and $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2 \rightarrow \Psi$ are all 0-dimensional by unique factorization, so $\dim \Phi = 4$ and $\dim \Psi = 6$.
- We will show that the degree of Ψ is 15. The degree of Φ can be shown to be 3 by a similar argument, or by noting that $\Phi \subset \mathbb{P}^5$ is defined by the vanishing of the determinant.

The dimension of Ψ is 6, so we could compute the degree of Ψ by intersecting Ψ with 6 generic hyperplanes in \mathbb{P}^9 . Instead, we will choose 6

hyperplanes which are not generic. Each $f \in \Psi$ has a zero locus which is the union of three lines in \mathbb{P}^2 . If x is a point of \mathbb{P}^2 , the set of $g \in \mathbb{P}^9$ for which $g(x) = 0$ is a hyperplane. Pick 6 generic points x_1, \dots, x_6 of \mathbb{P}^2 , and consider those $f \in \Psi$ whose zero loci pass through all of these points. Such an f has a zero locus consisting of three lines whose union contains x_1, \dots, x_6 ; there is exactly one way to choose those lines for each partition of $\{x_1, \dots, x_6\}$ into three parts of size two. We can easily count that there are 15 such partitions. So Ψ meets this intersection of 6 hyperplanes set-theoretically in 15 points. Without verifying that the intersection is transverse, we can only conclude that the degree of Ψ is at least 15.

We next use the Hilbert polynomial to show that the degree of Ψ is at most 15. Let V_l be the vector space of degree- l homogeneous polynomials on Ψ , and W_l the vector space of degree- (l, l, l) tri-homogeneous polynomials on $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2$ which are invariant under the action of S_3 given by permuting the three \mathbb{P}^2 factors. Name the multiplication map $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2 \rightarrow \mathbb{P}^9$ from part (a) m . Pullback along m gives a map m^* from V_l to W_l , because $m \circ \sigma = m$ for any $\sigma \in S_3$ acting on $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2$. Moreover m^* is injective, since m is surjective. Therefore $\dim V_l \leq \dim W_l$. The dimension of W_l is the number of monomials of tridegree (l, l, l) up to symmetry, or equivalently the number of 3×3 matrices of nonnegative integers with columns summing to l up to permutation of columns. There are $\binom{l+2}{2}$ possible columns and thus $\binom{(l+2)+2}{3} = \frac{l^6}{2^3 \cdot 6} + O(l^3)$ such matrices. So $\dim V_l \leq \frac{l^6}{2^3 \cdot 6} + O(l^3)$ and it follows that the degree of Ψ is at most $\frac{6!}{2^3 \cdot 6} = 15$. Together with the previous bound, this shows that $\deg \Psi = 15$.

(Note: m^* is not always surjective. The dimension of V_l is at most the dimension of the space of degree- l homogeneous polynomials on \mathbb{P}^9 , namely $\binom{9+l}{l}$. When $l = 2$ this is only $\binom{11}{2} = 55$, while $\dim W_l = \binom{\binom{4}{3}+2}{3} = \binom{8}{3} = 56$. Thus, additional care would be needed to show that $\deg \Psi = 15$ using only the Hilbert polynomial.)

6. Realize S^1 as the quotient $S^1 = \mathbb{R}/2\pi\mathbb{Z}$, and consider the following two line bundles over S^1 :

L is the subbundle of $S^1 \times \mathbb{R}^2$ given by

$$L = \{(\theta, (x, y)) : \cos(\theta) \cdot x + \sin(\theta) \cdot y = 0\}; \text{ and}$$

M is the subbundle of $S^1 \times \mathbb{R}^2$ given by

$$M = \{(\theta, (x, y)) : \cos(\theta/2) \cdot x + \sin(\theta/2) \cdot y = 0\}.$$

(You should verify for yourself that M is well-defined.) Which of the following are trivial as vector bundles on S^1 ?

- (a) L
- (b) M
- (c) $L \oplus M$
- (d) $M \oplus M$
- (e) $M \otimes M$

Solution.

- (a) Since L is a line bundle, to show that L is trivial, it suffices to give a section of L which is everywhere nonzero. Take $s(\theta) = (-\sin(\theta), \cos(\theta))$.
- (b) Let $B \subset M$ be the subbundle of vectors of unit length (so B is an S^0 bundle over S^1). Consider the map $\gamma : S^1 = \mathbb{R}/2\pi\mathbb{Z} \rightarrow B$ defined by $\gamma(\theta) = (2\theta, (-\sin(\theta), \cos(\theta)))$. Then γ is a homeomorphism, so in particular, B is not homeomorphic to $S^0 \times S^1$, and M cannot be a trivial line bundle.
- (c) Let $C \subset L \oplus M$ be the subbundle of vectors of unit length (so C is an S^1 bundle over S^1). We will write $v \oplus w$ for a vector in $L \oplus M$ over $x \in S^1$, where v and w are vectors in L and M over x respectively. Consider the map $h : S^1 \times [0, 2\pi] \rightarrow C$ given by

$$h(\phi, \theta) = (\theta, (\cos \phi(-\sin \theta, \cos \theta) \oplus \sin \phi(-\sin(\theta/2), \cos(\theta/2)))).$$

This is a homotopy between the maps $S^1 \rightarrow C$ given by

$$h(\phi, 0) = (0, ((0, \cos \phi) \oplus (0, \sin \phi)))$$

and

$$h(\phi, 2\pi) = (0, ((0, \cos \phi) \oplus (0, -\sin \phi))).$$

If $L \oplus M \rightarrow S^1$ were a trivial plane bundle, then C would be the torus and these two paths would not be homotopic. Hence $L \oplus M$ is not a trivial plane bundle over S^1 .

- (d) Define $s : [0, 2\pi] \rightarrow M \oplus M$ by

$$s(\theta) = (\theta, (\cos(\theta/2)(-\sin(\theta/2), \cos(\theta/2)) \oplus \sin(\theta/2)(-\sin(\theta/2), \cos(\theta/2)))).$$

Observe that s is nowhere 0 and $s(0) = (0, ((0, 1) \oplus (0, 0)))$ is equal to $s(2\pi) = (0, (-(0, -1) \oplus (0, 0)))$. So s factors through S^1 , and thus is a global nonvanishing section of $M \oplus M$. We can get a second, linearly independent section of $M \oplus M$ by applying the map $A : M \oplus M \rightarrow M \oplus M$,

$$A(\theta, (v \oplus w)) = (\theta, ((-w) \oplus v))$$

to s . So s and $A \circ s$ form a basis for $M \oplus M$ at every point, and $M \oplus M$ is a trivial plane bundle over S^1 .

(e) Consider the map $s : [0, 2\pi] \rightarrow M$ given by

$$s(\theta) = (\theta, (-\sin(\theta/2), \cos(\theta/2))).$$

Since $s(0) = (0, (0, 1))$ while $s(2\pi) = (0, (0, -1))$, s does not factor through S^1 . However, if we define $s' : [0, 2\pi] \rightarrow M \otimes M$ by

$$s'(\theta) = (\theta, v \otimes v) \quad \text{where} \quad (\theta, v) = s(\theta),$$

then $s'(0) = (0, (0, 1) \otimes (0, 1)) = (0, (0, -1) \otimes (0, -1)) = s'(2\pi)$. So s' is a global nonvanishing section of the line bundle $M \otimes M$, and thus $M \otimes M$ is trivial.

Note: Parts (c)–(e) can be solved more systematically using the theory of vector bundles. For X a pointed compact space, an n -dimensional vector bundle on the suspension of X is determined up to isomorphism by a homotopy class of pointed maps from X to the orthogonal group $O(n)$. For a map $f : X \rightarrow O(n)$, the corresponding vector bundle is obtained by taking trivial bundles on two copies of the cone on X and identifying them at a point $x \in X$ via the map $f(x)$. In our case $X = S^0$ and so a homotopy class of pointed maps from X to $O(n)$ is just a connected component of $O(n)$. The bundles L and M correspond to the connected components of the matrices (1) and (-1) respectively. It follows that the bundles $L \oplus M$, $M \oplus M$, and $M \otimes M$ correspond to

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \text{and} \quad (1),$$

respectively, so $L \oplus M$ is nontrivial but $M \oplus M$ and $M \otimes M$ are trivial.